

In the following problems, we will be always working on the alphabet  $\Sigma = \{0, 1\}$ .

1. State whether each of the following statements is **true**, or **false**<sup>1</sup>. Provide a justification for your answer. Formal proofs are not required.

(a) (7 points) If  $L$  is a context-free language, then  $L.L$  is a context-free language.

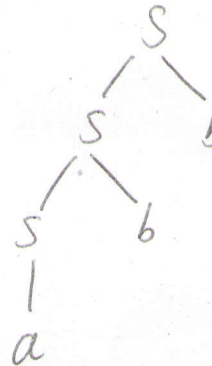
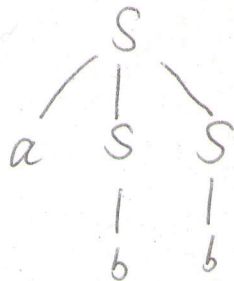
True. The set of context-free languages is closed under concatenation.

(b) (7 points) Consider the grammar  $G = (\{S\}, \{a, b\}, R, S)$ , where  $R$  has the rules

$$S \rightarrow aSS \mid Sb \mid a \mid b$$

Then  $G$  generates the string  $abb$  unambiguously.

False. There are two parse trees for  $abb$  in  $G$ :



<sup>1</sup>but not both!

- (c) (8 points) The language  $\{a^m b^n c^n d^m \mid m, n \geq 0\}$  over  $\Sigma = \{a, b, c, d\}$  is not context free.

False. Here is a CFG for this language:

$G = (\{S, T\}, \{a, b, c, d\}, R, S)$  where  $R$

is

$$S \rightarrow a S d \mid T$$

$$T \rightarrow b T c \mid \epsilon$$

- (d) (8 points) Suppose  $L$  is a context-free language generated by the context-free grammar  $G$ . Let  $S$  be the start variable of  $G$ . Now we modify  $G$  by adding the rule  $S \rightarrow SS$ . Then this modified grammar, denoted by  $G'$ , generates the language  $L' \triangleq \{ww \mid w \in L\}$ .

False. Here is a counter example:

$G = (\{S\}, \{a\}, R, S)$  where  $R$  is

$$S \rightarrow a$$

$$\text{Then } L(G) = \{a\} = L$$

$G'$  has the rules

$$S \rightarrow SS \mid a$$

$$\text{So } L(G') = \{a^i \mid i \geq 1\} \neq L'$$

2. Consider the following language over  $\Sigma = \{a, b\}$ .

$L = \{w \mid w \text{ has an odd length, and the first, middle and last symbols of } w \text{ are identical}\}$ .

(a) (15 points) Give a CFG that generates  $L$ . Formal proof of correctness is not required, but you should justify your construction.

$$S \rightarrow aAa \mid bBb \mid C$$

$$A \rightarrow CAC \mid a$$

$$B \rightarrow CBC \mid b$$

$$C \rightarrow a \mid b$$

Explanation: The strings <sup>(of length  $\geq 3$ )</sup> in  $L$  are of the

form  $a s_1 a s_2 a$  or  $b s_1 b s_2 b$

where  $|s_1| = |s_2|$ . We use variables  $A, B$  to

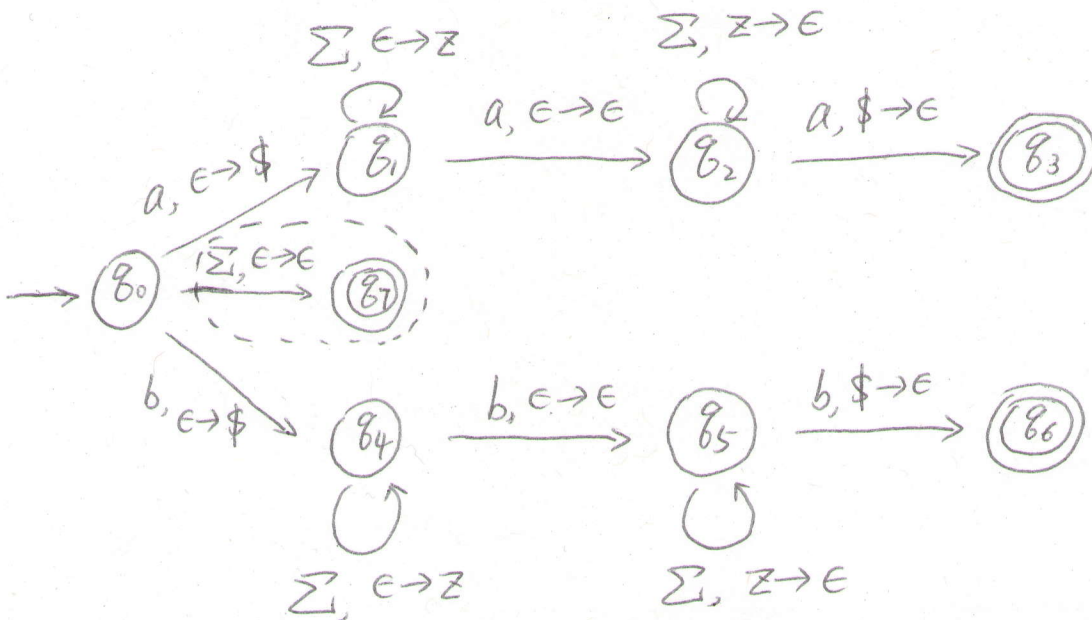
generate strings of the form  $s_1 a s_2$ ,  $s_1 b s_2$

respectively. Then we append  $a$  or  $b$  to the

two sides of  $A$  or  $B$ .

(The rule  $S \rightarrow C$  is optional, depending on whether one considers  $a, b \in L$  or not.)

- (b) (15 points) Give a PDA that accepts  $L$ . Formal proof of correctness is not required, but you should justify your construction.



Explanation: This PDA checks that the input string starts and ends with the same symbol, and it uses the pushing / popping of the stack to check that the numbers of symbols between this symbol and the beginning and the end are equal.

(The state  $q_1$  is optional, depending on whether one considers  $a, b \in L$  or not.)  $\rightarrow$



3. (20 points) Show that the language  $L = \{a^i b^j c^k \mid j = \max(i, k)\}$  over  $\Sigma = \{a, b, c\}$  is not context-free.

Suppose  $L$  is context-free. Since it is infinite, let  $p$  be the constant in the pumping lemma.

Consider the string  $a^p b^p c^p \in L$ .

By the pumping lemma, there exist strings  $u, v, w, x, y$ , st.  $a^p b^p c^p = uvwxy$ ,

$|vwx| \leq p$ ,  $|vx| > 0$ , and  $uv^i wx^i y \in L, \forall i \geq 0$ .

Now: ① If  $v$  or  $x$  contains two types of symbols, say  $v = a^i b^j$ ,  $ij \geq 1$ , then  $uv^2 wx^2 y$  contains  $ba$  as a substring, so  $uv^2 wx^2 y \notin L$ ;

② o.w.  $v$  and  $x$  both contain only one type of symbol. So at least one of  $a, b, c$  is not contained by both  $v$  and  $x$ .

②.1 if it is  $b$ , then  $uv^2 wx^2 y$  contains  $p$   $b$ 's, but more than  $p$   $a$ 's or  $c$ 's. So  $uv^2 wx^2 y \notin L$ ;

→

(2.2) d.w.  $vx$  contains  $b$ , but not  $a$  or  $c$ .

Then  $uwy$  contains  $p$   $a$ 's or  $c$ 's, but less than  $p$   $b$ 's. So  $uwy \notin L$ .

In each case, we get a contradiction.

So  $L$  is not context-free.

4. (20 points) Given an arbitrary DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , design a PDA  $P = (Q', \Sigma, \Gamma, \delta', \delta'_0, F')$  such that  $|Q'| \leq 3$  and  $P$  recognizes exactly  $L(M)$  (i.e. the language recognized by  $M$ ).

$P = (Q', \Sigma, \Gamma, \delta', \delta'_0, F')$  where

$$Q' = \{q'_0, q'_1, q'_2\}, \quad \Gamma = Q, \quad F' = \{q'_2\},$$

$$\delta'(q'_0, \epsilon, \epsilon) = \{(q'_1, q_0)\}$$

$$\delta'(q'_1, a, \beta) = \{(q'_1, \delta(\beta, a))\} \quad \forall a \in \Sigma, \forall \beta \in Q$$

$$\delta'(q'_1, \epsilon, \beta) = \{(q'_2, \epsilon)\} \quad \forall \beta \in F$$

Explanation:  $P$  simulates  $M$  by using the stack to keep track of the state  $M$  is in when processing any string. Specifically, at the beginning it pushes  $q_0$  onto the stack, and jumps to state  $q'_1$ . Then, it stays in state  $q'_1$ , and keeps doing the following: it reads a symbol, pops out current state of  $M$ , and push the new state of  $M$  to the stack. Furthermore, when  $M$  is in a state in  $F$ ,  $P$  can also jump to state  $q'_2$  which is accepting. This acceptance will die out if a new symbol is seen.



5. (10 points) **BONUS QUESTION**

A language is *prefix-closed* if the prefix of any string in the language is also in the language. Show that every infinite prefix-closed context free language contains an infinite regular subset.

**Hint:** Use the pumping lemma.

Suppose  $L$  is infinite, prefix-closed and context-free.

Let  $p$  be the constant in the pumping lemma.

Since  $L$  is infinite, there exists  $x \in L$ ,  $|x| > p$ .

By the pumping lemma, there exist strings  $u, v, w, y, z$ , st.  $x = uvwyz$ ,  $|vwy| \leq p$ ,  $|vy| > 0$ , and  $uv^iwy^iz \in L$ ,  $\forall i \geq 0$ .

Now: ① If  $|v| > 0$ , then, since  $L$  is prefix-closed,

we have  $uv^i \in L$ ,  $\forall i \geq 0$ . Clearly,

$\{uv^i \mid i \geq 0\} \subseteq L$  is infinite and regular;

② O.w.  $|v| = 0$ , so  $|y| > 0$ . Thus,

$uv^iwy^iz = uwy^iz \in L$ . Since  $L$  is prefix-closed,  $uwy^i \in L$ . Then

$\{uwy^i \mid i \geq 0\} \subseteq L$  is infinite and regular.

□