Midterm 1: CS186, Spring 2012

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You should receive a double-sided answer sheet and a 7-page exam. Mark your name and login on **both** sides of the answer sheet. For each question, place **only your final answer** on the answer sheet—do not show work or formulas there. You may remove the staple from the exam and use the backs of the questions for scratch paper.

I. Hashing [10 points]

Imagine you are taking a CS186 test and suddenly—boom!—you become a farmer. As a farmer, you have a lot of things, so you decide to create a database to store information about all your things.

For this question, we'll examine your relation for **Animals**, where each tuple represents one animal you own, and includes a bunch of information about that animal (e.g., its name, type, weight, favorite brand of ice cream, etc.). For instance, you might have five cows, which would be represented as five individual rows with various attributes, though all would have the type "cow". Because you store so much information about each animal, you can store only **4 tuples per page**.

You want to group the animals by their type. Since you don't care about any form of ordering, you decide to **hash** the animals into groups. You have **101 buffer pages** available and there are **32,000** animals.

- 1. How many times do we have run the **Partitioning** stage of hashing to hash the animals, assuming all the partitions end up being the same length? [3pt]
- 2. How large will the average partition be as they enter the **ReHash** stage, in pages? [3pt]

Later, you need to count how many each type of animal you have, for tax reasons. You decide to use hash aggregation with hybrid hashing. For the next 2 questions, assume you have **150 buffer pages** and **40,000 animals**.

3. In order to do hybrid hashing, we need to reserve **K** pages for the in-memory hash table. We'll choose **K** by asking the question: What is the maximum **K** such that we can hash all the animals in **2** passes, using just **B-K** buffers? In other words, we want to ensure that all the animals will be hashed in **two** passes, and any buffers leftover can be used for hybrid hashing. On the answer sheet (**not here!**), mark the circle that is by the closest value of **K**. **[1pt]**



4. Since we're just trying to count the various animals, we only need to store the intermediate counts in our hybrid hashing table. These are tuples of the form *<animal, count>* (e.g. <'cow', 6> to represent that we've counted six cows so far). We can store 20 of these tuples per page. Given the particular **K** you chose above, what's the greatest number of **different types of animals** could there be such that we can hash them all in one pass? [3pt]

II. DogSquareBookGram: A SQL Success Story [13 points]

This is your big break! Your business partner has just come to you with a paradigm-shifting social-media-meets-collective-bargaining pitch: Groupon meets Instagram for Dog Owners. As a gifted database architect and rockstar ninja hacker, it's up to you to build the site while your partner raises Series B funding.



You start by setting up tables for your human users and for their dogs. A user can have multiple dogs, but dogs can have only one user.

```
CREATE TABLE users (
userid integer,
name text,
age integer,
PRIMARY KEY (userid));

CREATE TABLE dogs (
dogid integer,
owner integer,
name text,
breed text,
age integer,
PRIMARY KEY (dogid),
FOREIGN KEY (owner) REFERENCES users);
```

- 5. It would be awesome to have a counter on your website keeping track of how many dog breeds are signed up for your service! On the answer sheet **(not here!)** mark the squares by the letters for *all* the queries that are guaranteed to return this number. **(Zero, one, or more than one may be correct.)** [2.5pt]
 - a. SELECT COUNT(*) FROM dogs;
 - b. SELECT COUNT(*) FROM dogs GROUP BY name;
 - c. SELECT COUNT(name) FROM dogs;
 - d. SELECT COUNT(dogid) FROM dogs;
 - e. SELECT COUNT(DISTINCT breed) FROM dogs;

6. Which query should you issue to find the user id of the user with the most dogs along with the number of dogs the user owns? The query should return only one row in the case where there are multiple users with the same number of dogs. On the answer sheet (not here!) mark a single letter for the query of your choice in the box below. [2pt]

```
SELECT userid, COUNT(*) as cnt
       FROM dogs, users
      WHERE userid = dogid
    ORDER BY cnt DESC
        LIMIT 1;
b.
      SELECT userid, MAX(dogid) as max
        FROM dogs, users
       WHERE userid = owner
    GROUP BY dogid, userid
    ORDER BY max DESC
        LIMIT 1:
     SELECT userid, MAX(dogid) as max
c.
       FROM dogs, users
      WHERE userid = owner
    GROUP BY userid
    ORDER BY max DESC
        LIMIT 1;
d.
      SELECT userid, COUNT(*) as cnt
        FROM dogs, users
       WHERE userid = owner
    GROUP BY userid
    ORDER BY cnt DESC
        LIMIT 1;
      SELECT userid, COUNT(*) as cnt
e.
        FROM dogs LEFT OUTER JOIN users ON userid = dogid
    GROUP BY userid;
```

7. To further improve the user experience, you decide to create another table to store photos of dogs (stored as type 'bytea'):

```
CREATE TABLE photos (
    dogid integer,
    photo bytea NOT NULL, -- constraint: cannot store NULL in this field of this table
    FOREIGN KEY (userid) REFERENCES users
    FOREIGN KEY (dogid) REFERENCES dogs
);
```

Your partner absolutely adores greyhounds, so he wants to find out which users own greyhounds and haven't yet added a photo of their dog. Assume the greyhound breed is represented as "greyhound". Which SQL query answers his query? Unlike the previous query, there are **at least two correct answers** to this question. Given the choices (a)-(e) below, mark all correct answers on the answer sheet **(not here!)** [2.5pt]

a. SELECT owner

FROM dogs

WHERE breed = "greyhound"

AND owner NOT IN (SELECT dogid FROM photos);

b. SELECT owner

FROM dogs, photos

WHERE dogs.breed = "greyhound"

AND dogs.dogid != photos.dogid;

c. SELECT owner

FROM dogs

WHERE breed = "greyhound"

AND dogid NOT IN (SELECT dogid FROM photos);

d. SELECT owner

FROM dogs LEFT OUTER JOIN photos ON dogs.dogid = photos.dogid WHERE photos.photo IS NULL;

e. SELECT owner

FROM dogs RIGHT OUTER JOIN photos ON dogs.dogid = photos.dogid WHERE photos.photo IS NULL;

- 8. How many rows are returned by R x R for a given relation R of size N? Mark a single answer on the answer sheet **(not here!).** [3pt]
 - a. 2N
 - b. 4N
 - c. N²
 - $d. 2N^2$
 - e. 2^N
- 9. True or False: $A \bowtie_{\theta} B = \sigma_{\theta} (A \times B)$. Mark the answer sheet **(not here!).** [1pt]
- 10. True or False: $(A \cup B) \cup (C D) = (B \cup (A \cup (C D)))$. Mark the answer sheet **(not here!).** [1pt]
- 11. True or False: $(A \cap (B C)) \cap D) = (A \cap ((C B) \cap D))$. Mark the answer sheet (**not here!**). [1pt]

III. Sorting [11 points]

Silicon Valley is abuzz about Big Data. Last week yet another startup company was funded: BigSort.com. Their big idea is to provide a service in which users can upload a table of numbers, and the site will store the table on disk, sort it, and store the sorted results for them.

As of last week, BigSort.com had servers that use **4KB disk blocks**, and they had **200KB of memory** available for sorting. Additionally, since the company was still developing its technology, it had not parallelized its software as yet, and could only sort one file at a time. However, the founders of BigSort were very business-oriented and already laid down their pricing plan:

BigSort.com charges users **\$1** for every I/O request that gets performed during sorting. We do not charge to store your data on disk! We charge only for sorting (including the cost of writing your sorted output.)

- 12. Initially, the company did not advertise, and attracted only small users. Their first user wanted to sort a file that was 160KB big. How much did BigSort charge that user? Write your answer on the answer sheet **(not here!). [3pt]**
- 13. As news of BigSort.com's awesome sorting service spread, they began to attract larger and larger consumers. Their next customer had a huge file of size 300KB. How much did they charge that user? Write your answer on the answer sheet **(not here!). [3pt]**
- 14. Mr. X wants the biggest bang for his buck. What is the maximum size (in KB) of the file he could sort for \$4900? Write your answer on the answer sheet (not here!). (Hint: You may want to start with some "ballpark" estimates on the number of passes, and then think about cost per pass.) [3pt]
- 15. Coach X was the football coach of Berkeley. He had a huge table called "Footballers" that had many attributes about his players, including touchdowns scored, personal weightlifting record, favorite flower, etc. However, he didn't care about those details. All he wanted to do was to see the top 10 players by weight. Essentially he wanted to run the query to the right.

SELECT name, weight FROM Footballers ORDER BY weight DESC LIMIT 10;

Which of the following optimizations could reduce the cost of executing that query while still returning the correct answer? On the answer sheet (not here!) mark the squares by the letters for *all* correct answers. (Zero, one, or more than one may be correct.) [2pt]

a. Use Tournament Sort instead of Quicksort.
b. Project to (name,weight) during scan.
☐ c. Use Hybrid Hashing.
d. Replace Rendezvous steps with Streaming.

IV. Joins [11 points]

In the spirit of Valentine's Day you have realized that dogs need love too, and have created a matchmaking service for dogs called Puppy Love Inc. You have compiled records of Male and Female dogs and stored them in two separate tables. Each record includes a "personality score" and you want to find the male-female pairs with matching "personality scores". Naturally you need to find a join algorithm! Each record in the table is 1KB. The Female table has 8,000 records and the Male table has 12,000 records. The computer you are using has 816KB of memory available and pages/buffers of size 8KB.

- 16. Which table should you use as the outer relation in **simple nested loop join**? [1pt]
 - a. Male
 - b. Female
- 17. Using **page nested loop** join with Male as the outer relation, what is the total number of I/O's (ignoring the cost of writing the final join answer out to disk)? [3pt]

Due to your I/O minimizing prowess, Valentines Day is a huge success! But suddenly lots of female dogs realize they are missing out on an opportunity and swarm to your office, submitting their information. You add them to the database and the **Female table now holds 16,000 records**!

- 18. Which of the following join strategies requires the least I/Os for this scenario? [1 pt]
 - a. page nested loop join with Male as the outer-loop relation
 - b. page nested loop join with Female as the outer-loop relation
 - c. block (chunk) nested loop join with Male as the outer-loop relation
 - d. block (chunk) nested loop join with Female as the outer-loop relation
- 19. What is the total number of I/O's taken by your chosen strategy in Part 4? Ignore the cost of writing the final join out to disk. **[3pt]**

Throughout your experience at Puppy Love you have learned some basic facts about what joins to consider. These facts are intended for non-trivial settings: i.e. B>4 pages worth of buffer space, and relations R and S of size > B.

20. Block nested loop join is better than page-oriented nested loop join. [1pt]	O a. always	Ob. sometimes	O c. never
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1. Sort-merge join is better than hash-join. [1pt]	O a. always	Ob. sometimes	O c. never
22. Hybrid Hash-Join is better than block-nested loops join. [1pt]	O a. always	Ob. sometimes	O c. never

V. Streaming [5 points]

Recall Twitter's API as used by TweeQL. The calling program makes a single request, and Twitter returns a continuous, unending stream of tweets. A tweet is a 140-character message, along with a username indicating who sent the tweet, and a timestamp indicating when the tweet was sent.

Assume you make a call to the Twitter streaming API, and get back a stream of all tweets that were sent with the hash tag "#Berkeley". Imagine you want to filter these tweets.

For example, you might have the following schema for tweets from the Twitter API: (time DATETIME, screen_name CHAR(32), text CHAR(140), hashtags CHAR(140))

Now assume you have the following schema for a stored table of "TAs": (TA_name CHAR(128), course CHAR(16), influence FLOAT)

23. Assume you want to achieve a streaming "join" of the stored table of TAs and the continuous stream of tweets, producing a continuous stream of tuples (time, user, tweet, influence) for all tweets by Berkeley TAs with the hashtag "#Berkeley." Further assume that the TAs table fits in memory. Which join algorithms could you use? Mark the answer sheet (not here!): (Zero, one, or more than one may be correct.) [2pt]

a. Sort-merge Join
b. Simple Nested Loops Join (tweets as the "outer" relation)
c. Block ("chunk") Nested Loops Join (TAs as the "outer" relation)
d. Hash Join

24. Twitter doesn't guarantee that they will give you tweets back in timestamp order. Assume that you want to fix this issue by sorting the stream of tweets. Assume the stream of tweets returned by Twitter is continuous and can become arbitrarily large.

True or False: One can devise a sorting algorithm that will output a sorted stream of Tweets. Mark your answer on the answer sheet **(not here!). [1pt]**

- 25. Now assume that Twitter guarantees that **a Tweet will be no more than k Tweets out of order**. In other words, if a Tweet is supposed to be located at position *i* in the stream, it will be in position *i* ± *k* in the worst case. Assuming **two tweets fit on a page of memory**, how many buffer pages do you need to output a sorted stream of tweets? **[2pt]**
 - a. *k* buffer pages of memory
 - b. k+3 buffer pages of memory
 - c. *k*-2 buffer pages of memory
 - d. 2k+2 buffer pages of memory
 - **e**. It can't be done with any finite number of buffer pages

Name:

Class Account: cs186-____

IV. Joins

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17 IOs

18 Oa Ob Oc Od

19 IOs

20 Oa Ob Oc

21 Oa Ob Oc

22 Oa Ob Oc

V. Streaming

24 O T O F

25 Oa Ob Oc Od Oe