

This is an open book exam. Calculators are allowed. Please show your work clearly if you wish to receive partial credit. Good Luck!

(30 pts)

1. Consider the following discrete-time system

a) Give the transfer function  $H(z)$  of this system, and sketch the magnitude response  $|H(e^{j\omega})|$ . What is the phase behavior (linear, minimum, maximum, or arbitrary phase)?

(6 pts)

b. Find a casual and stable inverse filter  $G(z)$  such that  $H(z)G(z)$  has a flat magnitude response, or

$$|H(e^{j\omega})G(e^{j\omega})| = 1$$

(6 pts)

c. Is  $G(z)$  above unique? If not, give another solution.

(6 pts)

d. Find a causal and stable filter  $F(z)$  such that  $H(z)F(z)$  has linear phase (that is, its impulse response is either symmetric or antisymmetric). If there is more than one solution, pick the one that minimizes the degree of  $H(z)F(z)$ .

(6 pts)

e. Same as (d) above, but restrict  $F(z)$  to be an FIR filter. Give a minimum degree solution, as well as a non-minimum degree one.

(35 pts)

2. Consider zero phase type I filters with real coefficients, that is, filters that are symmetric round the origin and have  $N=2L + 1$  real valued taps.

(10 pts)

- a. Assume the filter has a z-transform  $H(z) = z + 3/2 + z^{-1}$ . Show that this filter is an optimum minimax design for a lowpass filter with desired response

$$H_d(e^{j\omega}) = 3; 0 \leq \omega \leq \omega_p$$

$$0; \omega_p \leq \omega \leq \pi$$

and maximum error  $\delta = 1/2$

In particular, indicate:

- i. What are  $\omega_p$  and  $\omega_s$ ?
- ii. What are the alternation frequencies?
- iii. Is this an "extraripple" solution? (Hint: Plot  $H(e^{j\omega})$  for  $0 \leq \omega \leq \pi$ )

(10 pts)

- b. From  $H(z)$ , devise a new filter  $G(z) = H(z) + \delta$

- i. Show that  $G(e^{j\omega}) \geq 0$ , and for what  $\omega_0$ ,  $G(e^{j\omega_0}) = 0$ .
- ii. Because  $G(e^{j\omega}) \geq 0$ ,  $G(z)$  can be factored as

$$G(z) = R(z) R(z^{-1}).$$

Given  $R(z)$  in this case.

(10 pts)

- c. Assume a half band lowpass filter  $F(z)$  designed with the Parks-Ms-Clellan algorithm. Assume further that  $N=9$  and that it has half the alternation in the pass band ( $0.04\pi$ ) and the other half in the stop band ( $0.6\pi$ ). From this filter, derive  $F_p(z) = F(z) + \delta$  where  $\delta$ 's maximum error in the stopband. Sketch  $F_p(e^{j\omega})$  for  $0 \leq \omega \leq \pi$

(5 pts)

- d. In part (b), we stated that if  $G(z)$  is such that  $G(e^{j\omega}) \geq 0$ , then  $G(z) = R(z) R(z^{-1})$ . Show the converse, namely that if  $G(z) = R(z) R(z^{-1})$ , then necessarily  $G(e^{j\omega}) \geq 0$ .

(35 pts)

3. Consider a continuous time filter with impulse response

$$h_c(t) = 1; |t| \leq \tau$$

0; otherwise

The Fourier Transform of  $h_c(t)$  is a sinc function given by the formula

$$H_c(j\Omega) = 2\tau \text{sinc}(\Omega\tau)$$

For parts a-d below, consider applying the bilinear transformation to  $H_c(j\Omega)$  to derive a discrete time filter  $H_b(e^{j\omega})$ . Use  $T_d = \pi M$ .

(5 pts)

- a. Find an expression for the zeros of  $H_b(e^{j\omega})$ . Sketch  $H_b(e^{j\omega})$ .

(5 pts)

- b. At what frequency  $\omega(p)$  does  $|H_b(e^{j\omega})|$  evaluated at  $(\omega = \omega(p)) =$

$$2/\pi \cdot \max |H_b(e^{j\omega})| ?$$

(5 pts)

- c. Find the width (in radians) of the main lobe of  $H_b(e^{j\omega})$ . The main lobe is the lobe centered around  $\omega=0$  and the width refers to the distance between the zeros flanking the main lobe.

(5 pts)

- d. Using the characteristics of  $H_b(e^{j\omega})$  and the properties of the DTFT, determine if the discrete time impulse response  $h_b[n]$  is (1) FIR; (2) symmetric; (3) stable; (4) causal; (5) real. (explain your answers.)

For parts e-f below, consider applying impulse invariance to  $H_c(j\Omega)$  to derive a discrete time filter  $H_i(e^{j\omega})$ . Again use  $T_d = \pi M$ . Suggestion: Do this in the frequency domain.

(8 pts)

- e. Are there any frequencies at which aliasing does not affect the resultant filter  $H_i(e^{j\omega})$ ? If so, find them. In other words, determine any values of  $\omega$  for which

$$H_i(e^{j\omega}) = H_c(j\omega/T_d).$$

(7 pts)

- f) Sketch  $H_i(e^{j\omega})$  and find the width of the main lobe.

EE123 Solution

Problem 1

a.  $H(z) = 1 + 7/2 Z^{-1} + 3/2 Z^{-2}$

$H(z) = (1 + 3Z^{-1})(1 + 1/2 Z^{-1})$  (fig 1)

first term : zero @  $Z=-3$  outside U.C. (therefore not min phase)

2nd term : zero @  $z=-1/2$  inside U.C. (therefore not min phase)

$h[n]$  is not symmetric, therefore not linear phase

è arbitrary phase (fig 2)

b.  $|H(e^{j\omega}) G(e^{j\omega})| = 1$

This product is an allpass, so its poles and zeros must be in reciprocal conjugate pairs.

$G(z)$

(fig 3)

The pole @  $z = -1/2$  cancels the zero of  $H(z)$  @  $z=-1/2$ .

The pole @  $z = -1/3$  combines with the zero @  $z = -3$  to make an allpass filter.

$G(z) = 1/3 * 1/[ (1+1/2 Z^{-1})(1+1/3 Z^{-1}) ]$

This is the important part; it takes care of the magnitude equalization. The scale factor of  $1/3$  normalizes the magnitude to 1.

c.  $G(z)$  is not unique. Consider

$G_2(z) = G(z) H_{ap}(z)$

$G(z)$  from above

$H_{ap}(z)$  allpass filter

Then  $|H(e^{j\omega}) G_2(e^{j\omega})| = |H(e^{j\omega}) G(e^{j\omega})| * |H_{ap}(e^{j\omega})| = 1$

(fig 4)

We can construct  $G_2(z)$  by adding reciprocal conjugate pole-zero pairs to  $G(z)$ . Such pole-zero pairs constitute an allpass filter.

$$G_2(z) = 1/3 * 1/2 * (1 - 2Z^{-1}) / [(1 + 1/2 Z^{-1})(1 + 1/3 Z^{-1})(1 - 1/2 Z^{-1})]$$

d. linear phase  $\Rightarrow$  symmetry or anti-sym  $\Rightarrow$  zeros are reciprocal pairs

$H(z)$  (fig 5)

$F(z)$  (fig 6) causal, stable all poles and zeros are inside u.c.

$H(z)F(z)$  (fig 7)

$$F(z) = (1 + 1/3 Z^{-1}) / (1 + 1/2 Z^{-1})$$

e. If  $F(z)$  is restricted to be FIR, it can't have any poles except @  $z=0$  or  $z = \infty$ . We need to construct  $F(z)$  so that  $H(z)F(z)$  has zeros in reciprocal pairs

$F(z)$  (fig 8)

$H(z)F(z)$  (fig 9)

$$F(z) = (z+2)(z+1/3)$$

$$F(z) = Z^2 + 7/2 z + 2/3 \text{ minimum degree solution}$$

$F_2(z)$  (fig 10) or  $F_3(z)$ , etc (fig 11)

$$F_2(z) = (Z^2 + 7/2 z + 2/3)(z+1)$$

$$F_2(z) = Z^3 + 10/3 Z^2 + 9/3 z + 2/3$$

## Problem 2

Real zero-phase type I filter  $N = 2L + 1$

a.  $H(z) = z + 3/2 + z^{-1}$

$$H(e^{j\omega}) = e^{j\omega} + e^{-j\omega} + 3/2$$

$$H(e^{j\omega}) = 2\cos\omega + 3/2$$

(fig 12)

i.  $\omega_p = \pi/3$   $\omega_s = 2\pi/3$

ii.  $\{0, \pi/3, 2\pi/3, \pi\}$

iii. yes, this is an extraripple solution. It has alternations at 0 and  $\pi$

b.  $G(z) = H(z) + \delta z + 2 + z^{-1}$

i.  $G(e^{j\omega}) = 2\cos\omega + 2$

$= 2(\cos\omega + 1) \geq 0$  for all  $\omega$

$G(e^{j\omega_0}) = 0$  for  $\omega_0 = \pi$

ii.  $G(z) = R(z) R(z^{-1})$

$= z + 2 + z^{-1}$

$= (1 + z)(1 + z^{-1})$

$R(z) = 1 + z$  (or  $R(z) = 1 + z^{-1}$ )

c.  $N = 9 \Rightarrow L = 4$

half of alternations in passband  $\Rightarrow$  implies an even number of alternations  $r = L + 2 = 6$

half of alternations in stopband  $\Rightarrow$

Adding the stopband error  $\delta$  shifts the response up so that  $F_p(e^{j\omega}) \geq 0$  for all  $\omega$ .

(fig 13) alternations of  $F(e^{j\omega})$  are marked with xs

d.  $G(z) = R(z) R(z^{-1})$

$G(e^{j\omega}) = R(e^{j\omega}) R(e^{-j\omega})$

$R(e^{j\omega})$  is conjugate symmetric since  $r[n]$  is real, so  $R(e^{-j\omega}) = R^*(e^{j\omega})$

$G(e^{j\omega}) = R(e^{j\omega}) R(e^{j\omega})$

$G(e^{j\omega}) = |R(e^{j\omega})|^2 \geq 0$  for all  $\omega$ .

problem 3

a.  $H_c(j\Omega) = 2 \sin \Omega$

The zeros of  $H_c(j\Omega)$  are at  $\Omega = k\pi$  {  $k$  not equal to 0 }

$\Omega = k\pi / \tau$

To find the zeros of  $H_b(e^{j\omega})$ , find where the zeros of  $H_c(j\Omega)$  are mapped to using the bilinear transformation equation (7.28b)

$$w = 2 \arctan (\Omega T_d / 2) \quad T_d = \tau M$$

$$= 2 \arctan (\pi k / 2M) \quad \{k \text{ not equ to } 0\}$$

b. Since the bilinear transformation is a one to one mapping, we can solve this problem in  $\Omega$  and map it to  $w$ .

$$|H_c(j\Omega)| = 2/\pi \max |H_c(j\omega)| = 2\tau$$

$$J |\sin \Omega \tau / \Omega| = 4\tau\pi$$

By inspection

$$\Omega \tau = \pi/2, \quad \Omega = \pi/2\tau$$

$$\omega_p = 2 \arctan(\Omega T_d / 2)$$

$$= 2 \arctan (\pi/2\tau * 1/2 * \tau M)$$

$$= 2 \arctan (\pi/4M)$$

c. The first zero of  $H_c(j\Omega)$  is at  $\Omega = \pi/\tau$

The first zero of  $H_b(e^{j\omega})$  is at  $w = 2 \arctan (\pi/2M)$

So the width of the main lobe is  $4 \arctan(\pi/2M)$

d. (1) Not FIR  $H_3(e^{j\omega})$  has an infinite number of zeros

2. Symmetric  $H_b(e^{j\omega})$  is real

1. Stable No poles on the U.C.

2. Not casual Since its symmetric and not FIR, it must be not casual

3. Real  $H_b(e^{j\omega})$  is conjugate symmetric

I left out the sketch for part (a)..

(fig 14)

Since the entire  $j\Omega$  axis is mapped into the  $w$  range  $[-\pi, \pi]$ , the zeros get closer and closer together as  $|w| \rightarrow \pi$  (there are an infinite number of zeros.)

e. For the impulse invariance method, we have

$$H_i(e^{j\omega}) = \sum_{k=-\infty}^{+\infty} H_c(j \omega/T_d + j 2\pi k/T_d)$$

$$= \sum_k \sin [(w/T_d + 2\pi k/T_d) \tau] [2/(w/T_d + 2\pi k/T_d)]; T_d = \tau M$$

$$= \sum_k \sin [(wM + 2\pi kM) * \tau] [(2\tau M)/(w + 2\pi k)]$$

$$= \sum_k \sin(wM + 2\pi kM) [(2\tau M)/(w + 2\pi k)] ; 2\pi kM \text{ integer multiple}$$

$$= \sin(wM) \sum_k [(2\tau M)/(w + 2\pi k)] ; \sin(wM) \text{ zeros @ } w = \pi/M,$$

except  $n=0$

$$|H_i(e^{jw})|_{w=0} = \lim_{w \rightarrow 0} \sin(wM) \sum_k [(2\tau M)/(w + 2\pi k)] = 2\tau$$

We know that  $H_c(j\Omega)$  has zeros @  $\Omega = \pi/\tau$

Note  $\Omega d = \pi/\tau \cdot \tau M = \pi/M = \text{zeros in } w$

So  $H_i(e^{jw}) = H_c(jw/Td)$  at  $w = \pi/M$

f. (fig 15)

Main lobe width =  $2\pi/M$